

# The C<sub>4</sub>H<sub>4</sub><sup>+</sup> Potential Energy Surface. 2. The Jahn–Teller Stabilization of Ionized Tetrahedrane and Its Rearrangement to Cyclobutadiene Radical Cation<sup>†,1</sup>

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The Jahn–Teller potential energy surface of the radical cation of tetrahedrane is explored by quantum chemical calculations and modeled analytically. The first- and second-order vibronic constants are evaluated. The rearrangement to the cyclobutadiene radical cation, which was previously predicted to occur with no activation, is investigated by the same quantum chemical method (CCSD(T)/cc-pVTZ//QCISD/6-31G\*). It is shown that the localization of the HOMO which is necessary for adiabatic crossover requires some energy, which leads to a 0 K activation barrier of 4.3 kcal/mol for the process. It is concluded that the radical cations of substituted tetrahedranes should persist at low temperatures if they can be formed with little excess energy.

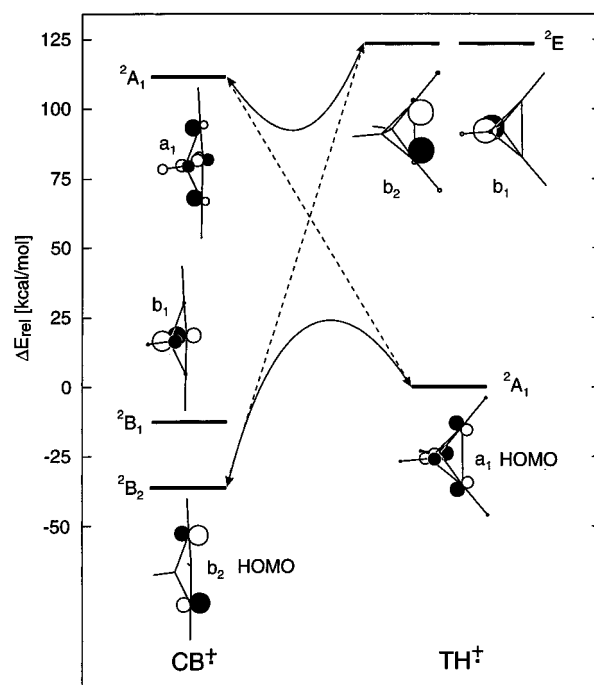
## 1. Introduction

Tetrahedrane (TH), the hydrocarbon incarnation of the simplest of the platonic solids, is also the most extravagant one.<sup>2</sup> We owe it to the perseverance of Prof. Maier and co-workers that some derivatives of this most strained of all known hydrocarbons have become available<sup>3</sup> and that hopes to make the parent compound remain alive, although this elusive goal has not been achieved to date.<sup>4</sup>

In particular, the suprisingly stable tetra-*tert*-butyl derivative (TH-tBu<sub>4</sub>), which retains the high symmetry of the parent structure to the greatest extent,<sup>5</sup> has been subjected to detailed structural, spectroscopic, and chemical investigations.<sup>2</sup> Interestingly, TH-tBu<sub>4</sub> undergoes only three types of chemical reactions, i.e., thermal and photochemical rearrangement to the more stable cyclobutadiene derivative (CB-tBu<sub>4</sub>), protonation, and oxidation. The present report focuses on the last of these, i.e., the fate of TH on ionization which according to all available experimental evidence leads to spontaneous rearrangement to CB<sup>•+</sup>.

Thus, it was found that upon chemical oxidation in CH<sub>2</sub>Cl<sub>2</sub> at –70 °C, TH-tBu<sub>4</sub> gives ESR and ENDOR spectra indistinguishable from those obtained under the same conditions from CB-tBu<sub>4</sub>.<sup>6</sup> On the other hand, electrochemical oxidation of TH-tBu<sub>4</sub> in acetonitrile was irreversible up to a scan rate of 10 V s<sup>–1</sup> at –78 °C, and the reduction wave showed reversible reduction of the radical cation of CB-tBu<sub>4</sub> at 0.15 ± 0.10 V.<sup>7</sup> Moreover, it was shown that all products of oxidation reactions involving TH-tBu<sub>4</sub> arise from CB-tBu<sub>4</sub><sup>•+</sup>.<sup>8</sup> All of this indicates that the rearrangement of TH-tBu<sub>4</sub><sup>•+</sup> to CB-tBu<sub>4</sub><sup>•+</sup> is essentially activationless. This conclusion found support in MNDO calculations on TH<sup>•+</sup><sup>7</sup> and TH-Me<sub>4</sub><sup>•+</sup><sup>6</sup> which predicted spontaneous collapse of the strained tetrahedranes to cyclobutadienes on ionization.

However, the MNDO method is not a very fortunate choice for modeling this particular reaction as it absurdly overestimates the stability of four-membered relative to three-membered rings.<sup>9</sup>



**Figure 1.** State correlation diagram and frontier MOs for the interconversion of TH<sup>•+</sup> and CB<sup>•+</sup>. The equilibrium structures of the two isomers and the relative energies of the different states at these geometries are from ROHF/6-31G\* calculations. The MOs of TH<sup>•+</sup> are labeled within the C<sub>2v</sub> symmetry shared by both isomers.

In addition the TH<sup>•+</sup> → CB<sup>•+</sup> rearrangement involves a crossing of the <sup>2</sup>A<sub>1</sub> state of TH<sup>•+</sup> and the <sup>2</sup>B<sub>2</sub> state of (rhombic) CB<sup>•+</sup> within the maximal C<sub>2v</sub> symmetry shared by both compounds,<sup>6</sup> as illustrated by the correlation diagram in Figure 1. To avoid this crossing, the system must lose most of its symmetry,<sup>6</sup> and this entails a localization of spin and charge which is expected to be associated with an increase in energy.

Therefore, a completely barrierless interconversion appeared quite improbable to us, and we decided to reinvestigate this reaction as part of our ongoing studies of C<sub>4</sub> hydrocarbon radical cations,<sup>10</sup> using state-of-the-art theoretical methods. We begin

<sup>†</sup> Dedicated to Prof. Günter Maier on the occasion of his 65th birthday.

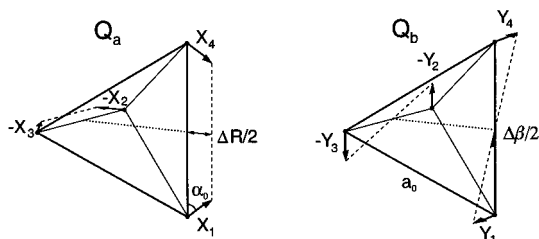
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with an analysis of the Jahn–Teller distortions of TH<sup>+</sup> which turn out to provide substantial structural progress along the reaction coordinate leading to CB<sup>+</sup> and then continue to explore the region of the state crossing which contains the transition state for the title reaction.

## 2. Jahn–Teller Surface for a Tetrahedral System in a Doubly Degenerate Electronic State

Any nuclear configuration of a highly symmetrical molecule in a degenerate electronic state is unstable according to the Jahn–Teller (JT) theorem and tends to relax to a structure of lower symmetry in which this degeneracy is broken. Initially this distortion occurs along certain non-totally symmetric normal modes (often the components of a degenerate one), but as the relaxation proceeds, second-order effects may lead to significant deviations from those. In particular, geometry optimizations along the symmetry-breaking modes do not necessarily lead to stationary points on the potential energy surface, and reoptimization usually leads to further stabilization. The energy decrease is often driven by a decrease in nuclear repulsion which is zero in the first order (but nonzero in higher orders) of a perturbation series as one proceeds along non-totally symmetric normal modes.<sup>11</sup>



**Figure 2.** Jahn–Teller coordinates  $Q_a$  and  $Q_b$  expressed in a system of local Cartesian coordinates attached to each corner of a tetrahedron (the  $Z_i$  axes point toward the center of the tetrahedron).  $R$  is the distance between the midpoints of the  $C_1$ – $C_4$  and  $C_2$ – $C_3$  bonds,  $\beta$  stands for the angle of rotation of the two bonds around the line passing through these two midpoints, and  $a_0$  is the C–C bond length in tetrahedrane.

Nevertheless it is useful to discuss JT surfaces in terms of analytic expressions which describe the vibronic interaction, such as they appear for example in Bersuker's book.<sup>12</sup> For the present case of a doubly degenerate ground state in  $T_d$  symmetry,<sup>13</sup> JT stabilization occurs along two orthogonal components of a normal mode of e-symmetry.<sup>12</sup> In the coordinate system shown in Figure 2, a symmetrized pair of such components can be assigned to a pair of coordinates  $Q_a$  and  $Q_b$ :

$$\begin{aligned} Q_a &= \frac{1}{2}(X_1 + X_4 - X_2 - X_3) \\ Q_b &= \frac{1}{2}(Y_1 + Y_4 - Y_2 - Y_3) \end{aligned} \quad (1)$$

where  $X_i$  and  $Y_i$  are 0 for a tetrahedral structure. In these coordinates and in a harmonic approximation, the expressions for the corresponding cross sections through the potential energy hypersurface (called "sheets" by Bersuker)<sup>12</sup> are

$$\begin{aligned} \epsilon_1 &= \frac{1}{2}K_E(Q_a^2 + Q_b^2) + \epsilon_1^v(Q_a, Q_b) \\ \epsilon_2 &= \frac{1}{2}K_E(Q_a^2 + Q_b^2) + \epsilon_2^v(Q_a, Q_b) \end{aligned} \quad (2)$$

where  $K_E$  is the force constant in the absence of vibronic coupling, whereas  $\epsilon_1^v$  and  $\epsilon_2^v$  are the roots of the vibronic secular equation

$$\begin{vmatrix} W_{11}^v - \epsilon^v & W_{12}^v \\ W_{21}^v & W_{22}^v - \epsilon^v \end{vmatrix} = 0 \quad (3)$$

In the above determinant, the  $W_{ij}^v(Q_a, Q_b)$  are the matrix elements  $\langle \psi_i | \mathbf{W}^v | \psi_j \rangle$  of the vibronic Hamiltonian  $\mathbf{W}^v$  over the two components  $\psi_i$  and  $\psi_j$  of the degenerate electronic state. We define the first- and second-order vibronic constants  $F_E$  and  $G_E$  as

$$F_E = \left\langle \psi_1 \left| \left( \frac{\partial V}{\partial Q_a} \right) \right| \psi_1 \right\rangle, \quad G_E = \frac{1}{2} \left\langle \psi_1 \left| \left( \frac{\partial^2 V}{\partial Q_a \partial Q_b} \right) \right| \psi_2 \right\rangle \quad (4)$$

where  $V$  is the energy due to interaction of the electrons with the nuclei and internuclear repulsion. If only the linear and quadratic terms of a perturbation series are taken into account, then eq 3 can be solved analytically<sup>12,14</sup> to yield

$$\epsilon_{\pm}^v = \pm [F_E^2(Q_a^2 + Q_b^2) + G_E^2(Q_a^2 + Q_b^2)^2 + 2F_E G_E(Q_a^3 - 3Q_a Q_b^2)]^{1/2} \quad (5)$$

These solutions can be substituted for  $\epsilon_1^v$  and  $\epsilon_2^v$  in eqs 2 for the JT surfaces  $\epsilon_1$  and  $\epsilon_2$  which can therefore also be expressed analytically in  $Q_a$  and  $Q_b$  if the JT parameters  $K_E$ ,  $F_E$ , and  $G_E$  are known. In the present study we will only be concerned with the lower "sheet" of the JT potential energy surface which is described by  $\epsilon_1(Q_a, Q_b)$ , using the minus sign in eq 5, and ignore the excited-state cone described by  $\epsilon_2$ .

If the second-order vibronic constant  $G_E$  is zero, then  $\epsilon_1$  describes a rotationally invariant or "sombbrero"-type surface.<sup>15</sup> The effect of nonzero  $G_E$  is to "warp" the bottom of the potential such as to introduce three equivalent minima and associated transition states, respectively, one pair of which is always located on the  $Q_a$  axis (if the product  $F_E \cdot G_E$  is positive,  $Q_a > 0$  for the minimum and  $Q_a < 0$  for the transition state, and vice versa).

The potential surface  $\epsilon_1(Q_a, Q_b)$  can be characterized by two energy-related parameters, the JT stabilization energy  $E_{JT}$  and the energy barrier  $E^\ddagger$  between the minima. In terms of the quantities  $K_E$ ,  $F_E$ , and  $G_E$  these two parameters can be expressed as<sup>12</sup>

$$E_{JT} = \frac{F_E^2}{2(K_E - 2|G_E|)} \quad E^\ddagger = \frac{4E_{JT}|G_E|}{(K_E + 2|G_E|)} \quad (6)$$

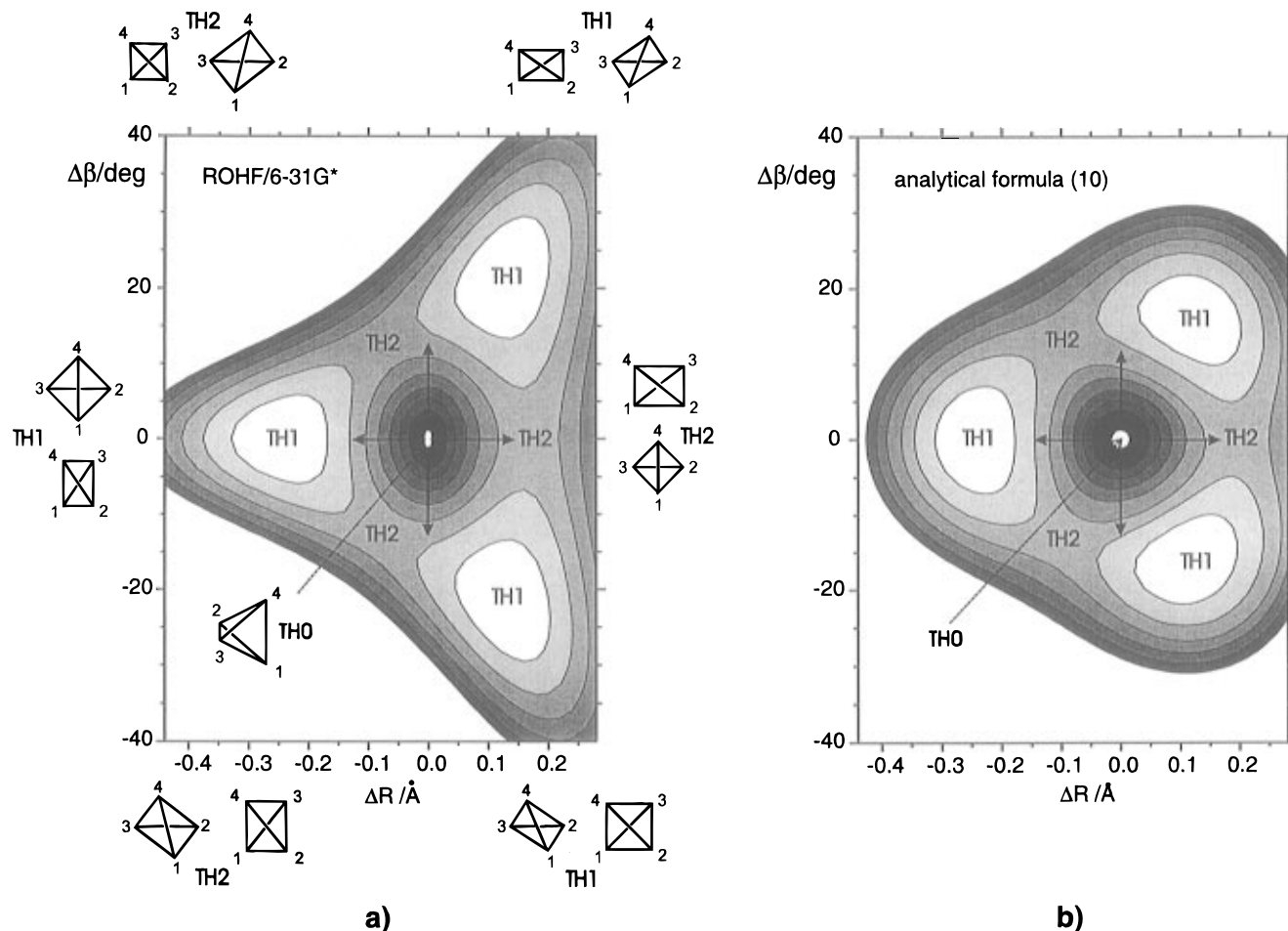
To evaluate  $K_E$ ,  $|F_E|$ , and  $|G_E|$  we need another relation which can be provided by a third, geometry-related parameter expressing for example the distance  $\Delta Q_m$  of the JT minimum from the conical intersection of  $\epsilon_1$  and  $\epsilon_2$  along the  $Q_a$  axis:<sup>16</sup>

$$\Delta Q_m = |F_E|/(K_E - 2|G_E|) \quad (7)$$

Actually, it turns out that the adiabatic potential of tetrahedral systems can be more conveniently expressed in a system of coordinates describing changes  $\Delta$  in the distance ( $R$ ) and the dihedral angle ( $\beta$ ), respectively, between opposite sides of the tetrahedron, as shown in Figure 2 (note that these are linearly related to  $Q_a$  and  $Q_b$  for small displacements  $\Delta$ ):

$$\begin{aligned} \Delta R &= \sin(\alpha_0) \cdot Q_a \\ \Delta \beta &\approx \tan(\Delta \beta) = (2/a_0) \cdot Q_b \end{aligned} \quad (8)$$

where  $a_0$  is the length of a side and  $\alpha_0$  the angle between that and a connected vector  $X_i$  [ $\alpha_0$  is given by symmetry,  $\sin(\alpha_0) = 0.8165$ ]. These coordinates have the advantage that they convey a clear topological meaning and lend themselves well for the



**Figure 3.**  $\Delta R$  vs  $\Delta\beta$  contour maps of the JT potential energy surface of  $\text{TH}^{+\bullet}$  as obtained (a) from ROHF/6-31G\* calculations, with all other parameters relaxed and (b) from the analytical expression 10 with the JT parameters  $K_E$ ,  $|F_E|$ , and  $|G_E|$  as obtained from the same calculations (cf. Table 2). The drawings around plot (a) show projections of the two triads of structures TH1 (minima) and TH2 (transition states) along two of the three  $C_2$  axes (discussion see text).

construction of  $\mathbf{Z}$  matrices. Thus we replace  $\Delta Q_m$  in eq 7 by

$$\Delta R_m = \frac{\sin(\alpha_0) \cdot |F_E|}{(K_E - 2|G_E|)} \quad (9)$$

We will use eqs 6 and 9 to evaluate the JT parameters  $K_E$ ,  $|F_E|$ , and  $|G_E|$  from  $E_{JT}$ ,  $E^\ddagger$ , and  $\Delta R_m$  as obtained from quantum chemically calculated JT surfaces, and we will plot  $\epsilon_1$  as a function of  $\Delta R$  and  $\Delta\beta$ , as obtained from eqs 2, 5, and 8:

$$\epsilon_1 = \frac{1}{2}K_E(\Delta R^2 + \Delta\beta^2) - [F_E^2(\Delta R^2 + \Delta\beta^2) + G_E^2(\Delta R^2 + \Delta\beta^2)^2 + 2F_E G_E(\Delta R^3 - 3\Delta R\Delta\beta^2)]^{1/2} \quad (10)$$

However, before going into this we wish to point out a very elegant and convenient way to analyze the group theoretical features of JT surfaces, i.e., the *epikernel principle* introduced by Ceulemans and Vanquickenborne.<sup>17</sup> If  $G$  is the parent point group of a molecule, then the *kernel*  $K(G, \Gamma)$  of a given irreducible representation  $\Gamma$  of  $G$  is the subgroup of  $G$  which contains all symmetry elements represented by the unit matrices in  $\Gamma$ . On the other hand, the *epikernel*  $E(G, \Gamma)$  represents the subgroup of  $G$  containing all symmetry elements for which at least one basis function of  $\Gamma$  can be chosen to be invariant.

In our case  $G = T_d$  and  $\Gamma = e$ , and it can be deduced<sup>17</sup> from the above definitions that  $K(T_d, e) = D_2$  and  $E(T_d, e) = D_{2d}$ . The epikernel principle then states that (i) stationary points on the JT surface prefer epikernels to kernels, (ii) they prefer epikernels of the highest possible symmetry, and (iii) stable minima possess

structures of maximal epikernel symmetry.<sup>17</sup> Thus, the epikernel principle predicts that the stationary points on the JT surface of  $\text{TH}^{+\bullet}$  will be of  $D_{2d}$  rather than  $D_2$  symmetry, as the calculations presented below also showed.

### 3. Computational Methods

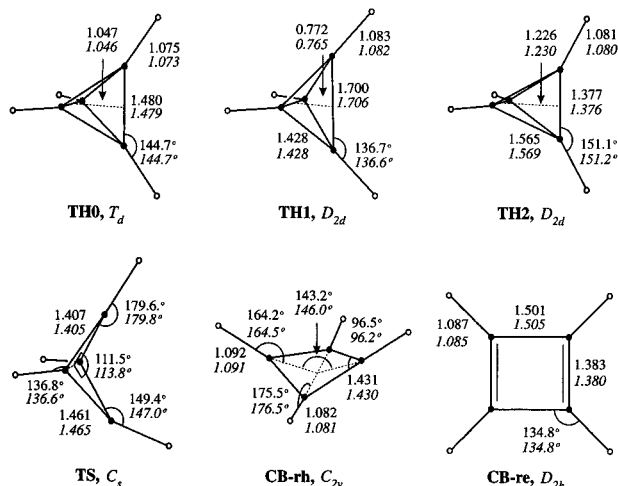
Initially, all structures considered in this study were optimized at the restricted open-shell Hartree–Fock (ROHF) level (we did not use UHF to avoid possible problems with spin contamination). Subsequently, they were reoptimized by the UB3LYP density functional method<sup>18</sup> which has recently proven to give excellent agreement with experiment and higher level calculations for geometries and vibrational frequencies<sup>19</sup> and whose spin unrestricted variant is much less plagued by spin contamination than HF calculations.<sup>20</sup> For validation purposes, we also reoptimized all structures and characterized the resulting stationary points at the UQCISD level,<sup>21</sup> but, as expected, the geometries and frequencies turned out to be very similar to those obtained by B3LYP. All the above calculations were done with the standard 6-31G\* basis set of Hariharan and Pople.<sup>22</sup>

To ascertain that single-determinant reference wave functions give a qualitatively correct description of the electronic structures of our  $\text{C}_4\text{H}_4^{+\bullet}$  species, i.e., that strong nondynamic correlation effects which would require multideterminantal reference wave functions are absent, we performed (7,8)CASSCF calculations.<sup>23</sup> These showed in all cases that the reference configuration was over 93% and that no excited configuration contributed more than 1.5% (with the exception of rhombic  $\text{CB}^{+\bullet}$  where the

**TABLE 1: Energies ( $\Delta E$ ) and 0 K Internal Energies ( $\Delta E_0 = \Delta E + \Delta ZPE$ ) in kcal/mol of C<sub>4</sub>H<sub>4</sub><sup>+</sup> Structures<sup>a</sup> Relative to Ground-State TH<sup>+</sup> (TH1)**

| C <sub>4</sub> H <sub>4</sub> <sup>+</sup><br>structure | ROHF/6-31G*         |              | UB3LYP/6-31G* |              | UQCISD/6-31G* |              | UCCSD(T)/cc-pVTZ <sup>b</sup> |              |
|---|---------------------|--------------|---------------|--------------|---------------|--------------|-------------------------------|--------------|
|   | $\Delta E$          | $\Delta E_0$ | $\Delta E$    | $\Delta E_0$ | $\Delta E$    | $\Delta E_0$ | $\Delta E$                    | $\Delta E_0$ |
| TH0 <sup>c</sup>  | 26.49               |              | 24.82         |              | 25.07         |              | 24.35                         |              |
| TH2   | 5.52                | 4.96         | 5.64          | 5.20         | 5.62          | 5.08         | 4.88                          | 4.35         |
| TS  | 4.90                | 4.30         | 4.30          | 4.01         | 3.76          | 3.41         | 4.61                          | 4.26         |
| CB-rh   | -36.12 <sup>d</sup> | -37.39       | -29.24        | -28.26       | -31.47        | -30.43       | -28.22                        | -27.18       |
| CB-re   | -38.80              | -37.00       | -31.35        | -29.55       | -30.71        | -29.49       | -29.82                        | -28.60       |
| TH1 $E^e$   | -153.348 76         |              | -154.353 82   |              | -153.862 64   |              | -154.053 40                   |              |
| TH1 ZPE <sup>f</sup>                                    | 40.25               |              | 37.21         |              | 37.68         |              | (37.68)                       |              |

<sup>a</sup> For B3LYP and QCISD optimized structures see Figure 4. TH2 and TS are transition states, TH1 and the two CB structures are minima at all levels, except where noted. <sup>b</sup> Single-point calculations at QCISD geometries;  $\Delta ZPE$  taken from vibrational analysis at the QCISD level. <sup>c</sup> At the geometry of neutral TH. Not a stationary point of TH<sup>+</sup>, therefore no  $\Delta E_0$  given. <sup>d</sup> Transition state in ROHF. <sup>e</sup> Total energies in hartree. <sup>f</sup> Zero-point vibrational energies in kcal/mol. In the  $\Delta E_0$  columns, the relative ZPEs are added to  $\Delta E$ .



**Figure 4.** Geometries of stationary points on the C<sub>4</sub>H<sub>4</sub><sup>+</sup> potential energy surface (TH0 is for neutral TH)<sup>27</sup> as obtained at the QCISD (normal) and B3LYP levels (italic), both with the 6-31G\* basis set. TH2 and TS are saddle points, all other structures correspond to energy minima.

largest contribution was 2.4%). This proved that the single-reference-based QCISD<sup>21</sup> or CCSD<sup>24</sup> approaches to evaluate the dynamic correlation energy would be valid. On the basis of this, single-point energies were evaluated at the UCCSD(T) level<sup>24</sup> using Dunning's correlation-consistent triple- $\zeta$  (cc-pVTZ) basis set,<sup>25</sup> which gave 176 basis functions for C<sub>4</sub>H<sub>4</sub>. Zero-point energies (ZPE) for the evaluation of internal energy changes at 0 K,  $\Delta E_0 = \Delta E + \Delta ZPE$  were taken from the QCISD/6-31G\* calculations.

All calculations were carried out with the Gaussian 94 suite of programs<sup>26</sup> on an SGI Power Challenge server, with the exception of the CCSD(T)/cc-pVTZ jobs which were run on a NEC SX3 vector machine.

## 4. Results and Discussion

**4.1. Jahn–Teller Surface of TH<sup>+</sup>.** All features of the JT surface of TH<sup>+</sup> were evaluated in the framework of the coordinates  $\Delta R$  and  $\Delta\beta$  introduced in section 2. A contour map of the ROHF surface in these coordinates is shown in Figure 3a and will serve as a basis for the discussion of the higher-level results. In essence, there are three relevant types of structures (cf. Figure 4): (i) TH<sup>+</sup> in  $T_d$  symmetry (denoted TH0 in Figure 3) which is also the point of the conical intersection on the JT surface of TH<sup>+</sup>.<sup>27</sup> (ii) The three equivalent minima (<sup>2</sup>A<sub>1</sub> states in  $D_{2d}$  symmetry, TH1 in Figure 3) which are characterized by four short and two long C–C bonds (1.428 and 1.700 Å, respectively, at the QCISD level).

**TABLE 2: Parameters for the JT Adiabatic Potential of TH<sup>+</sup> <sup>a</sup>**

| parameter    | ROHF<br>6-31G* | UB3LYP<br>6-31G* | UQCISD<br>6-31G* | UCCSD(T)<br>cc-pVTZ <sup>b</sup> |
|--------------|----------------|------------------|------------------|----------------------------------|
| $E_{JT}$     | 26.49          | 24.82            | 25.07            | 24.35                            |
| $E^\ddagger$ | 5.52           | 5.64             | 5.62             | 4.88                             |
| $\Delta R_m$ | 0.245          | 0.281            | 0.275            | 0.275                            |
| $K_E$        | 6.60           | 4.82             | 5.04             | 4.81                             |
| $ F_E $      | 1.76           | 1.44             | 1.45             | 1.44                             |
| $ G_E $      | 0.38           | 0.31             | 0.32             | 0.27                             |

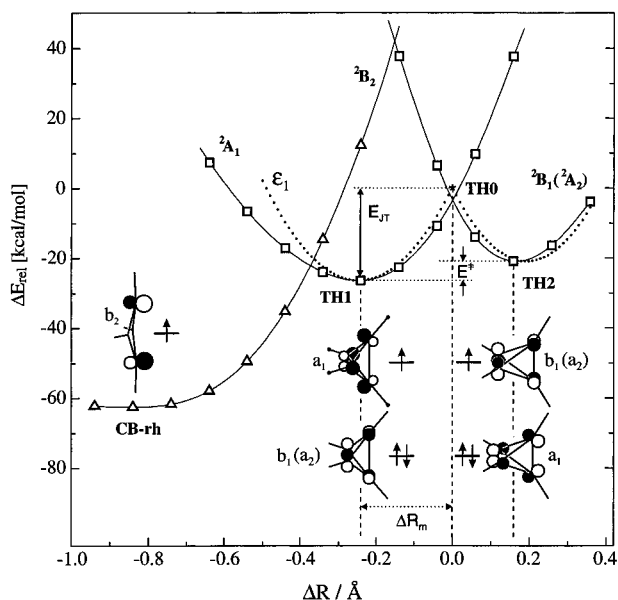
<sup>a</sup>  $E_{JT}$  and  $E^\ddagger$  are in kcal mol<sup>-1</sup>,  $\Delta R_m$  is in Å,  $|F_E|$  is in 10<sup>2</sup> kcal mol<sup>-1</sup> Å<sup>-1</sup>, and  $K_E$  and  $|G_E|$  are in 10<sup>2</sup> kcal mol<sup>-1</sup> Å<sup>-2</sup>.

(iii) The three equivalent transition states (<sup>2</sup>B<sub>1</sub> states in  $D_{2d}$ , TH2 in Figure 3) with four long and two short bonds (1.565 and 1.377 Å, respectively, by QCISD).

An interesting feature of the two sets of stationary points (which is unique to the  $T_d/e$  case) is that, although the structures in each set are geometrically and energetically identical, they are distinct in a topological sense: if the geometry of TH<sup>+</sup> is optimized along the  $R$  coordinate (cf. Figure 2), then structures TH1 and TH2 are attained strictly within  $D_{2d}$  symmetry. Conversely, if the optimization proceeds initially along the orthogonal coordinate  $\beta$  (i.e., keeping  $\Delta R = 0$ ), then the symmetry descends to  $D_2$  as  $\beta$  deviates from 90° ( $\Delta\beta \neq 0$ ), but eventually the optimization converges again to TH1 or TH2, i.e., to structures of  $D_{2d}$  symmetry.

This is of course what is required by the epikernel principle (see above), but it does not become immediately evident, because the  $D_{2d}$  structures obtained along  $\beta$  differ from those which lie on  $R$  by the orientation of the coordinate system. Whereas in the latter, the  $S_4$  axis connects the midpoints between C<sub>2</sub>–C<sub>3</sub> and the C<sub>1</sub>–C<sub>4</sub> bonds, it goes through the midpoints of the C<sub>3</sub>–C<sub>4</sub> and the C<sub>1</sub>–C<sub>2</sub> bonds for  $\Delta\beta > 0$  (and through the midpoints of C<sub>2</sub>–C<sub>4</sub> and C<sub>1</sub>–C<sub>3</sub> for  $\Delta\beta < 0$ ). We have tried to illustrate this feature by providing projections along two of the three axes in the little drawings which surround the potential energy surface in Figure 3a. These also allow us to see how the system “rotates” along the minimal energy path which connects the three minima TH1 via the three transition states TH2.

Table 1 lists the energies of TH0, TH1, and TH2 as obtained by geometry optimizations within  $T_d/D_{2d}$  symmetry with the ROHF, B3LYP, and QCISD methods, both with the 6-31G\* basis set, and the results of the single-point CCSD(T) calculations with the larger cc-pVTZ basis set. From these we can obtain the three JT parameters  $E_{JT}$ ,  $E^\ddagger$ , and  $\Delta R_m$  which can in turn be used to evaluate  $K_E$ ,  $|F_E|$ , and  $|G_E|$ . The compilation of these values in Table 2 shows that they do not depend very critically on the method, except perhaps for the simple ROHF/6-31G\* level which gives values for  $K_E$ ,  $|F_E|$ , and  $|G_E|$  which



**Figure 5.**  $D_{2d}$  cross section of the JT surface of  $\text{TH}^+$  (open squares)<sup>28</sup> and  $C_{2v}$  curve for the puckering of  $\text{CB}^+$  (open triangles) from ROHF/6-31G\* geometry optimizations for different values of the coordinate  $\Delta R$ . The dotted line represents the curve according to eq 10, with the JT parameters  $K_E$ ,  $|F_E|$ , and  $|G_E|$  as obtained from the indicated ROHF values for  $\Delta R_m$ ,  $E_{\text{JT}}$ , and  $E^{\ddagger}$  via eqs 6 and 9. Note that the  ${}^2B_1$  state of  $\text{TH}^+$  correlates with a  ${}^2A_2$  state of  $\text{CB}^+$  in  $C_{2v}$  symmetry (labels in parentheses refer to  $C_{2v}$ ).

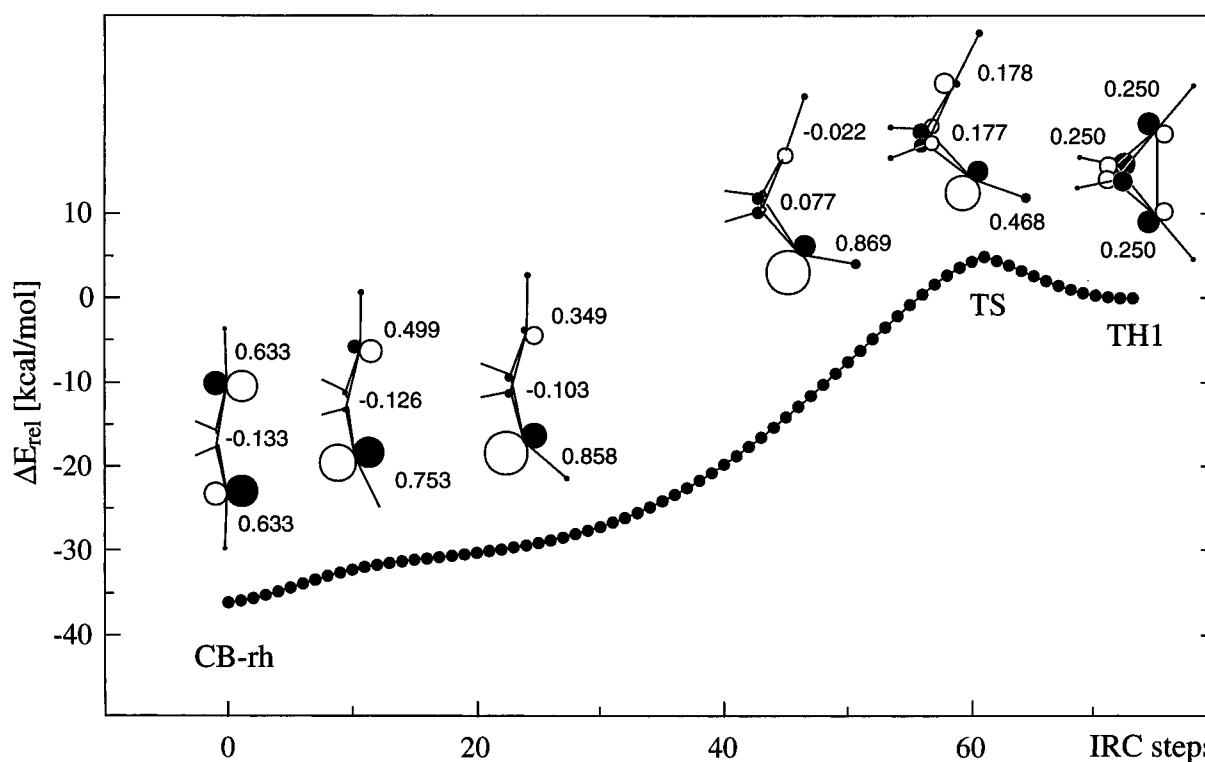
are 20–35% higher than those obtained at the correlated levels. Conversely, we note the excellent performance of the comparatively economic B3LYP model which gives results in close agreement with the much more expensive coupled cluster calculations.

With  $E^{\ddagger}$  being as small as  $\approx 5$  kcal/mol, it is to be expected that the motion of  $\text{TH}^+$  along the bottom of the JT surface will be very rapid, i.e., the JT distortion will be *dynamic*. The

low  $E^{\ddagger}$  may also have repercussions on experimental spectra (e.g., tunneling splitting of vibrational energy levels), but the study of such effects is beyond the scope and goals of the present article.

Obviously, in the case of  $\text{TH}^+$ , one of the minima  $\text{TH1}$  lies at negative values of the  $\Delta R$  coordinate, therefore the product  $F_E \cdot G_E$  must have a negative sign (see the discussion above). With this additional piece of information we calculated the surface  $\epsilon_1(\Delta R, \Delta\beta)$  by eq 10. An equipotential diagram of the lower sheet as obtained with the parameters from the ROHF/6-31G\* calculation is shown in Figure 3b whereas a two-dimensional  $D_{2d}$  cross section along the  $\Delta R$  coordinate is plotted in Figure 5. This includes also the ground state ( ${}^2B_2$ ) surface of the product,  $\text{CB}^+$ , for the discussion in the following section. Apart from a wrong asymptotic behavior for large negative values of  $\Delta R$  and large values of  $\Delta\beta$  which is due to the harmonic approximation inherent in the model, the qualitative and quantitative agreement between parts a and b in Figure 3 is evident.<sup>28</sup>

**4.2. Rearrangement of  $\text{TH}^+$  to  $\text{CB}^+$ .** As seen above, JT distortion of  $\text{TH}^+$  leads to minima where one pair of opposite tetrahedral bonds have moved closer. As it happens, this is precisely what is required for the interconversion to  $\text{CB}^+$ , and hence one can say that the JT distortion results in *structural progress* along the reaction coordinate which connects the two isomers, in close analogy to the recently discussed case of the cyclooctatetraene radical cation which relaxes to a [3.3.0]-bicyclic species upon excitation into a degenerate excited state.<sup>29</sup> However, in contrast to that case, significant changes in the wave function are required for  $\text{TH}^+$  to cross over onto the  $\text{CB}^+$  ground-state surface (cf. Figure 1). In particular, the HOMO of  $\text{TH}^+$  at the  $\text{TH1}$  minimum is symmetric with respect to a  $C_2$  axis coinciding with the  $S_4$  axis, whereas that of (rhombic)  $\text{CB}^+$  is antisymmetric. A change of sign is only possible if a complete localization of the HOMO (and hence most of the spin) on one C–H unit occurs at some point along the reaction



**Figure 6.** Results of the IRC calculation<sup>30</sup> for the  $\text{TH}^+ \rightarrow \text{CB}^+$  interconversion (ROHF/6-31G\*) and change in shape of the HOMO along the reaction coordinate. The numbers indicate spin densities per C–H group from B3LYP calculations (which take spin polarization into account).

coordinate, and one expects this to be associated with some energy increase.

Before exploring this reaction, and in order to put the results for CB<sup>+</sup> on an equal footing with those for TH<sup>+</sup>, we reoptimized the rectangular and rhombic structures (CB-re and CB-rh in Figure 4) corresponding to the two modes of JT stabilization of CB<sup>+</sup> at the currently used B3LYP and QCISD levels. In agreement with our previous study which was done at the RMP2 level,<sup>1</sup> we found both structures to be minima on the respective surfaces, but the two methods disagree on their energetic ordering. Whereas the rectangle is favored by 2.11 kcal/mol by B3LYP (1.29 kcal/mol on a  $\Delta E_0$  scale), the situation is reversed in favor of the puckered rhombus at the QCISD level by 0.76 kcal/mol (0.94 kcal/mol in  $\Delta E_0$ ). Single-point CCSD-(T)/cc-pVTZ calculations at the QCISD geometries predict again a small advantage for the rectangle (1.60 kcal/mol in  $\Delta E$ , 1.42 in  $\Delta E_0$ ). All of this confirms that the bottom of the JT “sombbrero” of CB<sup>+</sup> is essentially flat and that either structure can be considered as a target state for chemical reactions leading to this cation. In the present context, the rhombic state is more convenient, so this will be used in the following discussion.

Thus we searched for a transition state of C<sub>s</sub> symmetry (which permits localization of spin and charge) in the vicinity of the crossing point of the <sup>2</sup>B<sub>2</sub> surface of CB<sup>+</sup> and the <sup>2</sup>A<sub>1</sub> surface of TH<sup>+</sup> (cf. Figure 5) and located it at all levels of calculation used in the present study (structure TS in Table 1 and Figure 4). Finally we performed an IRC calculation<sup>30</sup> at the ROHF/6-31G\* level, starting from TS in both directions. The results of this are displayed in Figure 6 which illustrates also the change in shape of the HOMO and the spin distribution along the reaction coordinate. The strong localization of the spin expresses itself also in the pronounced pyramidalization of one C atom in the vicinity of TS. Interestingly, the maximal localization occurs not at TS but in the following downhill region of the potential energy surface leading to CB<sup>+</sup>.

It should be noted that (at least at the ROHF level) the transition state lies slightly *higher* in energy than the (apparent) crossing point of the <sup>2</sup>A<sub>1</sub> surface of TH<sup>+</sup> and the <sup>2</sup>B<sub>2</sub> surface of CB<sup>+</sup>. In part this is due to the fact that the two states do not really cross at this energy because their geometries are slightly different for  $\Delta R = -0.38 \text{ \AA}$  (the apparent crossing point, cf. also ref 28) and hence the “real” intersection of the two surfaces occurs at higher energy. In addition, any adiabatic transition between the two states requires further distortion to lower symmetry, possibly resulting in a further energy increase. This is in contrast to the situation which prevails in orbital-symmetry-forbidden rearrangements of closed-shell species (such as the thermal TH → CB interconversion<sup>6</sup>). There, an avoided crossing of surfaces usually leads to an energy *lowering* because the two *states* are of the same symmetry and can therefore experience appreciable stabilization through mixing without distortion to lower symmetry.

## 5. Conclusion

We have explored the potential energy surface for the Jahn–Teller (JT) distortions of TH<sup>+</sup> and its subsequent rearrangement to CB<sup>+</sup> at high levels of ab initio theory as well as by density functional methods. Thereby we found, in contrast to earlier MNDO calculations,<sup>6</sup> that relaxation of vertically formed TH<sup>+</sup> along the D<sub>2d</sub> JT coordinate leads to a stable structure. Although the JT distortion represents structural progress on the reaction coordinate which connects TH<sup>+</sup> to CB<sup>+</sup>, there remains a barrier of about 4 kcal/mol separating the two isomers which arises in part from the localization of the spin that is required for an adiabatic crossover from the <sup>2</sup>A<sub>1</sub> ground-state surface of TH<sup>+</sup>

to the <sup>2</sup>B<sub>2</sub> surface of CB<sup>+</sup>. This barrier should suffice to stabilize TH<sup>+</sup> at low temperatures and under conditions where the excess energy of about 25 kcal/mol from the vertical ionization of TH can be dissipated efficiently. In the case of the experimentally accessible tetra-*tert*-butyl derivative, a part of this energy dissipation could be “internal” such that chances of stabilizing the corresponding cation could be higher.

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**Supporting Information Available:** Full Cartesian coordinates of the structures in Figure 4 (5 pages). Consult any current masthead page for ordering or Internet access information.

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(28) Note that in Figure 5 the crossing point of the  $D_{2d}$  curves for the <sup>2</sup>A<sub>1</sub> and the <sup>2</sup>B<sub>1</sub> state of TH<sup>+</sup> lies about 4 kcal/mol below the  $T_d$  conical intersection TH0. In contrast to the previous case of (planar) CB<sup>+,1</sup> where symmetry requires that the crossing point of the rhombic and rectangular  $D_{2h}$  JT curves coincides with the  $D_{4h}$  conical intersection, TH<sup>+</sup> has an additional degree of freedom (the ratio of the lengths of the two and the four symmetry-equivalent C-C bond lengths, respectively) which differs in the two states. Therefore the crossing point of the  $D_{2d}$  curves does not correspond to a common geometry of both states which is attained only in TH0, the conical intersection.

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